

U4 UTILITIES

U4 INDUSTRY COMPLIANCE & PROCEDURE - U4 CLIENT CHECK LIST

Please Circle the answer to the following questions. You should always ask or be asked all of these questions on this Compliance checklist before you are asked to sign a contract or LOA. Please ask our advisor if you have any questions before commencing. Or call **Freephone: 0800 011 2290**

1.	Have you agreed that U4 Utilities Ltd acting on your behalf?	YES	NO
2.	Do you understand you are entering into an energy agreement?	YES	NO
3.	Do you understand that you are responsible for all energy costs relating to the supply agreement?	YES	NO
4.	Have you been made aware of all standing charges and unit prices?	YES	NO
5.	Do you know how long your agreement is for?	YES	NO
6.	Are you aware which premises the agreement relates to?	YES	NO
7.	Are you aware you cannot terminate this agreement once it is signed?	YES	NO
8.	Has the Letter Of Authority (L.O.A) been explained fully to you?	YES	NO
9.	Are you aware that you <u>will</u> be charged for another signing agreement for the same period?	YES	NO
10.	Have you been given a price comparison for other suppliers?	YES	NO
11.	Have you been asked for any payment in relation to the agreement by the advisor?	YES	NO
12.	Have you been offered a copy (or access via our website) OF u4 Utilities Ltd Code of practice and compliancy documents? (www.u4utilities.co.uk/compliance)	YES	NO
13.	Were you offered an inducement to sign this agreement?	YES	NO
14.	Has it been explained to you that the supplier may conduct out a credit check for this agreement?	YES	NO
15.	Have the payment terms of the agreement been explained?	YES	NO
16.	Have you been able to provide previous invoices from your supplier? And you are aware a copy of this checklist signed by me (<i>your advisor</i>) will be emailed to you?	YES	NO

I have answered all of the questions above (or By Phone – I have listened to all of the questions) and I am fully aware of the contract I am about to sign (or verbally agree on recording a contract on the phone). I also understand that I must not enter into a contract with another provider here onwards and in relation to the information I have provided to U4 Utilities Ltd for the supply of this contract agreement. I am signing (or verbally agreeing on recording) to indicate that everything has been explained to me in relation to the contract presented to me by U4 Utilities Ltd.

Advisor Signed* _____

* Date _____

Advisor Print Name: _____

Position at U4 Utilities Ltd _____

A copy of this checklist together with the U4 Utilities advisor name and contact is emailed to you once your LOA / contract has been agreed in principal. Please keep for your records and contact the advisor above in future concerning your account. Contact us T: **01202 834490** E: info@u4utilities.co.uk W: www.u4utilities.co.uk